# AUTOMATION IN MECHANICAL VENTILATION

ELIAS BAEDORF KASSIS, MD





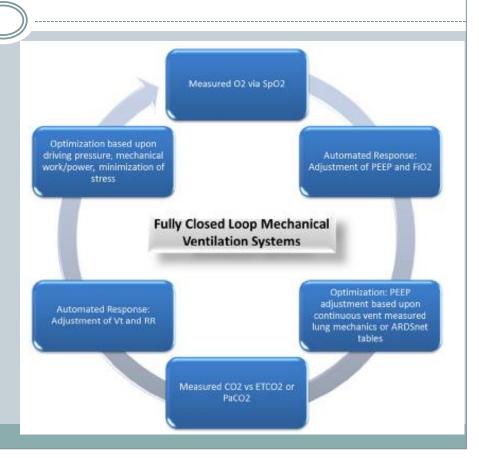
A teaching hospital of Harvard Medical School

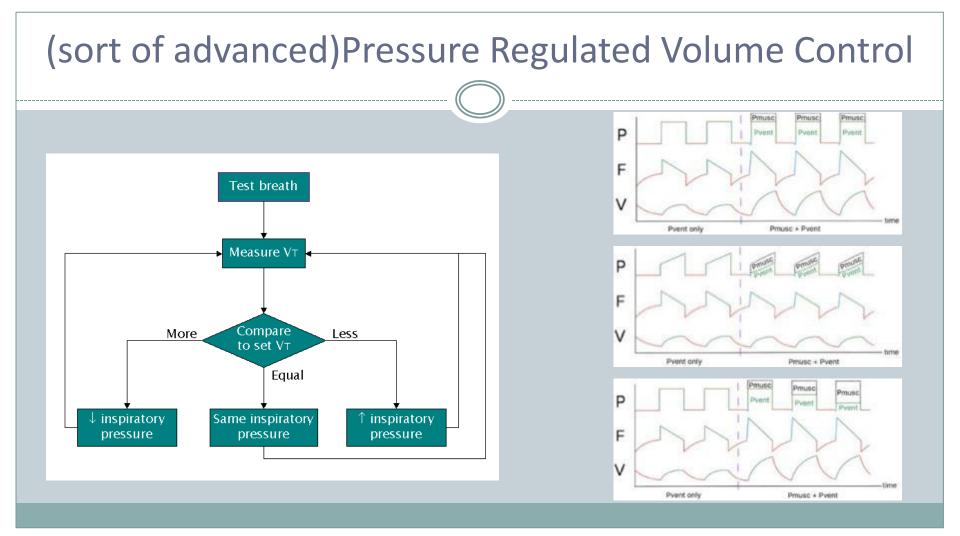
# **Closed Loop Ventilation**

 Most "advanced" vent modes use some degree of closed loop adjustment

 Feedback from patient to automatically adjust vent parameters

Baedorf Kassis and Talmor. 2021. Encyclopedia of Respiratory Medicine, 2nd Edition https://doi.org/10.1016/B978-0-08-102723-3.00214-6





#### PRVC – who cares?

#### Pros

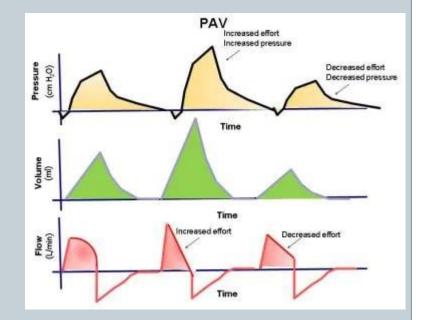
#### Cons

- Potential balance of the good aspects of PC and VC
- No fixed flow pattern
- Potentially more comfortable
- Less "flow asynchrony"

- Allows higher Vt than set with active breathing
- Potential worsening of some types of dyssynchrony
- Many clinicians don't understand how it works

### **Proportional Assist Ventilation**

- Alternate mode for spontaneous breathing during mechanical ventilation
- Continuous measurement of compliance and resistance
- Measurement of patient effort based upon deviations in flow/pressure
- Support then increases or decreases relative to effort (Partial Closed Loop)
  - High effort indicates more support needed
  - Lower effort indicated less support needed
- Potentially improves ventilator synchrony
- Potentially limited with auto-PEEP
- Do all patients with large efforts need MORE support?



# Neurally adjusted ventilator assist (NAVA)

- Basically PAV, but uses diaphragm electrical signals
- Synchronizes initiation of patient effort and degree of effort.
  - Rapid detection of diaphragm electrical activity to trigger
  - Assessment of neural effort and subsequent adjustment of assistance with each breath
- Possible decreases in dyssynchrony
- Unclear if much additional benefit over traditional pressure support
- Potential value in COPD
  - Patients with auto-PEEP -> masks airway pressure and flow changes which delay triggering and the vent sensing efforts



#### **Other Automated Modes**

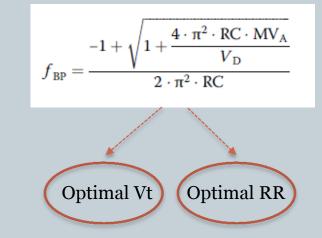
- Smartcare/PS
- Mandatory Rate Ventilation (MRV)
- Automode

#### Breathing Power (Initial ASV algorithm)

 "Optimal breathing frequency" in unassisted breathing

Equation of motion derivation

 Solved to minimize breathing effort (called breathing power or the rate of muscular work)

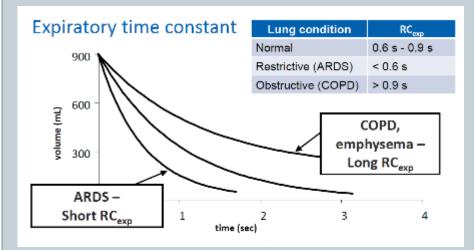


Otis. (1950) J Appl Physiol 2(11):592–607 Mead J (1960) J Appl Physiol 15(3):325–336

#### **ASV Time Constant**

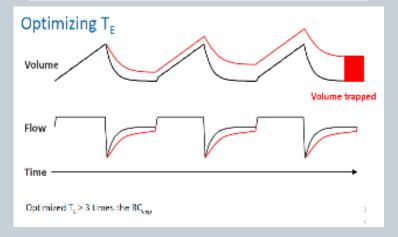
**RC**<sub>exp</sub> = Compliance(ΔVolume/ ΔPressure) x resistance(ΔPressure/ΔFlow)

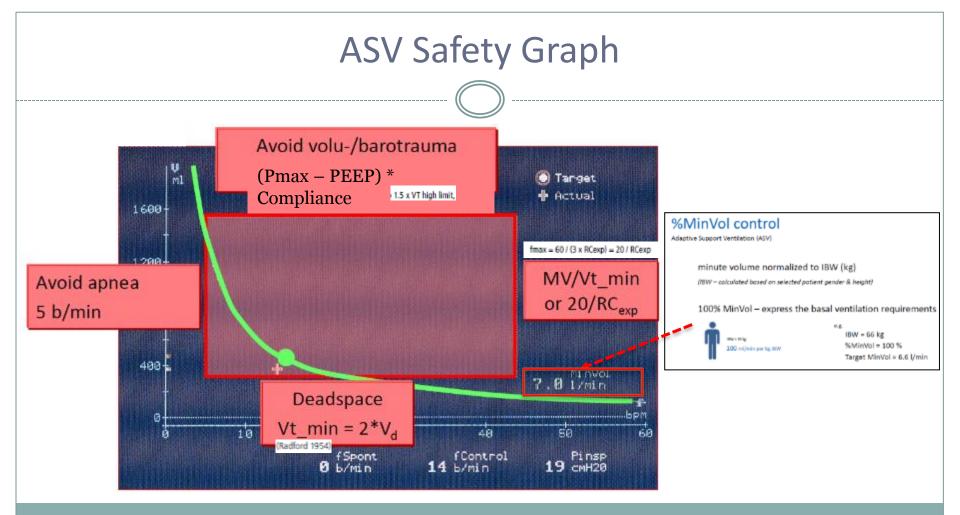
 $RC_{exp} = \Delta Volume / \Delta Flow - assumes equal resistance throughout breath$ 



#### Expiratory time constant (RC<sub>exp</sub>)

- 1 x RC<sub>exp</sub> 63% of VT
- 2 x RC<sub>exp</sub> 86.5% of VT
- 3 x RC<sub>exp</sub> 95% of VT





#### **ASV Evidence - Weaning**

A randomized controlled trial comparing the ventilation duration between Adaptive Support Ventilation and Pressure Assist/Control Ventilation in medical patients in the ICU KirakAdaptive support ventilation for faster weaning in COPD: a randomised controlled trial Ches Kiral Adaptive support ventilation for fast tracheal extubation after cardiac surgery: a PMIL Eur France A randomized controlled trial of 2 protocols for weaning cardiac surgical patients PMI Sulze receiving adaptive support ventilation A randomized controlled trial of adaptive support ventilation mode to wean patients Anest Tam N JCrit after fast-track cardiac valvular surgery PMID PMID Zhu F, (Adaptive Support Ventilation versus Synchronized Intermittent Mandatory Ventilation Anesthe With Pressure Support in weaning patients after orthotopic liver transplantation PMID 2: Callin Randomized controlled trial comparing adaptive-support ventilation with pressure-PMID 2: Celli P, Ruberti Transpi PMID 2: Gruber P Effects of implementing adaptive support ventilation: the effect on Effects of implementing adaptive support ventilation in a medical intensive care unit Petter Anesth Chen Clinical experience with adaptive support ventilation for fast-track cardiac surgerv Anesth Chen Clinical experience with adaptive support ventilation for fast-track cardiac surgerv Automatic weaning from mechanical ventilation using an adaptive lung ventilation Anesthes PMID 18 PMID 1 Respire assina PMID J Cardio controller PMID 14 Linton DM, Potgieter PD, Davis S, Fourie AT, Brunner JX, Laubscher TP Chest. 1994 Dec:106(6):1843-50 PMID 7988211, http://www.ncbi.nlm.nih.gov/pubmed/7988211

#### **ASV Use In All Ventilated Patients**

 243 ICU patients enrolled in prospective observational study
 1327 days monitored on ASV

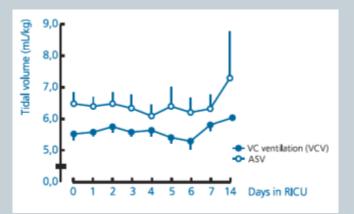
Vt-RR combinations varied with mechanics phenotypes
 O Higher VT and lower RR in COPD than in ALI/ARDS

 9.3ml/kg (8.2-10.8) predicted body weight (PBW) and 13 breaths/min (11-16) vs. 7.6ml/kg (6.7-8.8) PBW and 18 breaths/min (16-22).

Intensive Care Med. 2008 Jan;34(1):75-81.

### **ASV vs Conventional**

- 48 patients with ARDS randomized to ASV (n=23) or volume control (n=25)
- Similar duration of mechanical ventilation, mortality, ICU stay and other parameters



- 88 patients in 3 groups (22 normal lung, 36 restrictive disease, 30 with obstructive)
- Conventional ventilation  $\rightarrow$  ASV.
- ASV resulted in lower inspiratory work
- Slightly lower RR and higher Vt in ASV
- Lower Vt in restrictive disease
- 3 patients with obstruction had unacceptably high Vt

#### Respirology. 2013 Oct;18(7):1108-15.

### ASV Algorithm Adjustment

- An alternate derivation of equation of motion
- Solve for the breathing frequency that minimizes the average force per breath
- By minimizing the force per breath this essentially results in reduction of driving pressure with each breath
- Added to help support improved lung protection

$$f_{\rm BF} = \left(\frac{\rm MV_A}{V_D}\right)^{1/3} \cdot (2\pi \rm RC)^{-2/3}$$

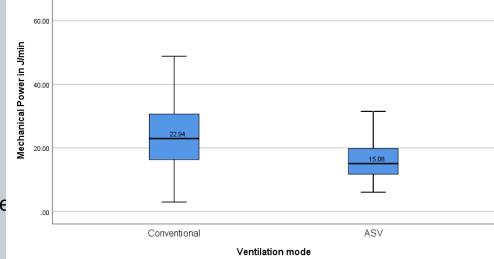
#### ASV – Application During Lung Injury

- 26 Pediatric Cases requiring Mechanical Ventilation
- ASV 1.1 vs control mode compared with crossover
- Driving pressure primary endpoint
  10.4 (8.5-12.1) cmH2O in ASV vs 12.4 (10.5-15.3) cmH2O in Control
- Lower Vt in ASV
  - O 6.4 (5.1-7.3) cc/kg IBW in ASV vs 7.9 (6.8-8.3) cc/kg IBW in control

#### Pediatr Pulmonol 2021 Jul 22

# **ASV Mechanical Power**

- 24 patients 12 consecutive patients in ASV vs 12 consecutive patients in PCV
- Mechanical Power = 0.098 \* VT \* RR \* (Ppeak – ½ \* ΔP)
- Attempt to adjust variables between groups based upon gender, time and APACHE score
- Mechanical power significantly lower in the ASV group
- Small and lacks internal control



Crit Care Explor 2021 Feb 15;3(2):e0335.

#### **ASV in ARDS**

- 20 person randomized crossover study
- Confirmed ARDS per Berlin Criteria
- Patients randomized to ASV or control mode with Vt set to 6cc/kg IBW

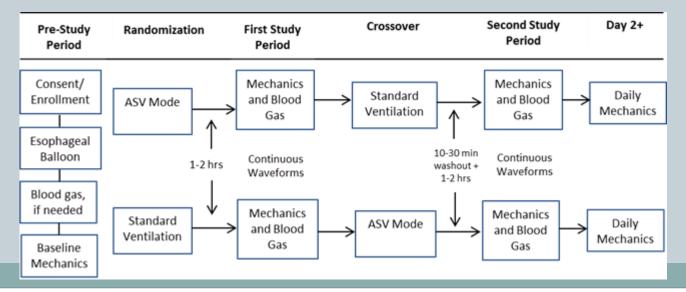
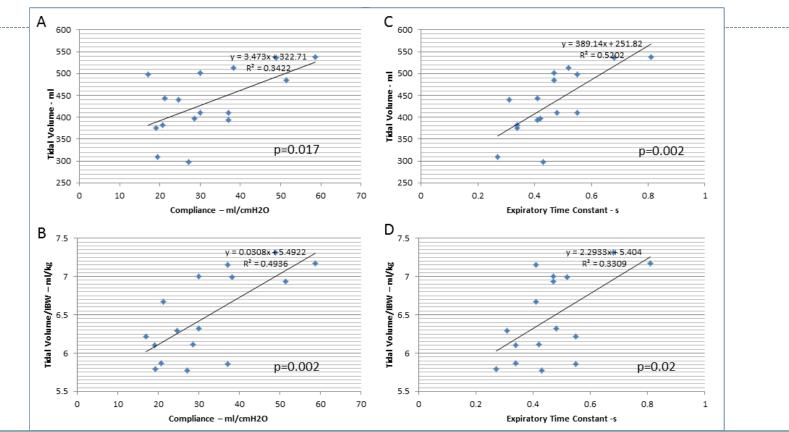


Table 3. Comparison between ventilator modes before and after crossover on day 1 (n=17)					
Vent Mode	<u>CMV</u>	<u>ASV</u>	p value		
Vt – ml	417.7 (392.7-440.8)	440.5 (393.4-497.4)	0.06		
Vt/IBW – ml/kg	6.04 (6.01-6.06)	6.29 (5.87-6.99)	0.03*		
Resp Rate – bpm	27 (22-30)	25 (22-26)	0.01		
Minute Ventilation – L/min	10.4 (8.6-12.1)	10.5 (9.1-12.2)	0.33		
Plateau Pressure - cmH <sub>2</sub> O	24.7 (22.6-27.6)	25.3 (23.5-26.8)	0.14		
Total PEEP – cmH <sub>2</sub> O	12.8 (10.4-15.1)	12.8 (10.6-15.5)	0.44		
End Expiratory Transpulmonary Pressure – cmH <sub>2</sub> O	0.0 (-1.7-1.8)	0.2 (-1.1-1.3)	0.62		
End Inspiratory Transpulmonary Pressure – cmH <sub>2</sub> O	8.7 (6.6-11.8)	8.6 (7.2-11.9)	0.46		
End Expiratory Esophageal Pressure – cmH <sub>2</sub> O	13.2 (12.1-15.3)	12.4 (11-16.3)	0.72		
End Inspiratory Esophageal Pressure – cmH <sub>2</sub> O	15.5 (13.8-18.3)	15.2 (11.9-19.6)	0.89		
Respiratory System Driving Pressure – cmH <sub>2</sub> O	12.8 (9-15.8)	11.7 (10.7-15.1)	0.29		
Transpulmonary Driving Pressure - cmH <sub>2</sub> O	7.8 (7-10.7)	8.3 (7.3-12.8)	0.68		
Chest Wall Driving Pressure – cmH <sub>2</sub> O	3 (1.6-3.9)	2.6 (2.3-4.3)	0.95		
Respiratory System Elastance - L/cmH <sub>2</sub> O	30.1 (24.4-40.5)	28.3 (22.8-39.5)	0.62		
Lung Elastance - L/cmH <sub>2</sub> O	22.4 (17.2-29.4)	20.2 (14.7-28.5)	0.84		
Check Wall Elastance – L/cmH2O	7.2 (3.7-8.8)	6.2 (4.8-8.8)	0.57		
Respiratory System Compliance – ml/cmH2O	33.2 (24.7-40.9)	35.3 (25.3-43.8)	0.74		
Transpulmonary Compliance – ml/cmH2O	44.6 (34-58)	49.5 (35-67)	0.36		
Chest Wall Compliance – ml/cmH2O	139 (113-268.3)	119.3 (90.4-178.6)	0.06		
Expiratory Time Constant - s	0.42 (0.39-0.53)	0.47 (0.41-0.55)	0.13		
pH	7.4 (7.31-7.45)	7.4 (7.31-7.44)	0.10		
pCO2	42 (37-45)	39 (36-49)	0.10		
PaO2/FiO2	200 (150-235)	168 (146-207.5)	0.22		
Mechanical Power – J/min	26.9 (23.8-37.9)	28.2 (22.2-36.4)	0.84		
Vt indicates tidal volume, IBW indicates ideal body weig indicates control mode ventilation, ASV indicates adapti All comparisons made with paired t test except where distribution and the use of the Wilcoxon signed paired s	ve support ventilation indicated by the * which				

#### **Comparison Before and After Crossover** Agl Β<sub>ω</sub> ~ ml/kg IBW 20 Έ 6.5 Tidal Volume- 1 400 Tidal Volumeø Ω. ú õ Ω. Control Mode Control Mode ASV ASV

#### Tidal Volume Variability in ASV



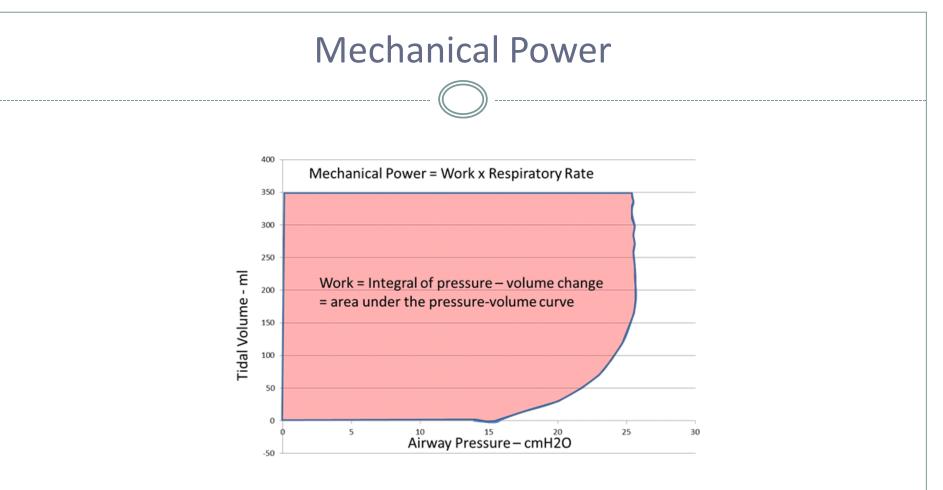
Baedorf Kassis et al. Respir Care. 2022 Aug 16:respcare.10159. doi: 10.4187/respcare.10159. Epub ahead of print. PMID: 35973716

Vent Mode	<u>CMV</u>	ASV	p value
Vt – ml	417.7 (392.7-440.8)	440.5 (393.4-497.4)	0.06
Vt/IBW – ml/kg	6.04 (6.01-6.06)	6.29 (5.87-6.99)	0.03*
Resp Rate – bpm	27 (22-30)	25 (22-26)	0.01
Minute Ventilation – L/min	10.4 (8.6-12.1)	10.5 (9.1-12.2)	0.33
Plateau Pressure - cmH₂O	24.7 (22.6-27.6)	25.3 (23.5-26.8)	0.14
Total PEEP – cmH₂O	12.8 (10.4-15.1)	12.8 (10.6-15.5)	0.44
End Expiratory Transpulmonary Pressure – cmH <sub>2</sub> O	0.0 (-1.7-1.8)	0.2 (-1.1-1.3)	0.62
End Inspiratory Transpulmonary Pressure – cmH <sub>2</sub> O	8.7 (6.6-11.8)	8.6 (7.2-11.9)	0.46
End Expiratory Esophageal Pressure – cmH <sub>2</sub> O	13.2 (12.1-15.3)	12.4 (11-16.3)	0.72
End Inspiratory Esophageal Pressure – cmH <sub>2</sub> O	15.5 (13.8-18.3)	15.2 (11.9-19.6)	0.89
Respiratory System Driving Pressure – cmH <sub>2</sub> O	12.8 (9-15.8)	11.7 (10.7-15.1)	0.29
Transpulmonary Driving Pressure - cmH <sub>2</sub> O	7.8 (7-10.7)	8.3 (7.3-12.8)	0.68
Chest Wall Driving Pressure – cmH <sub>2</sub> O	3 (1.6-3.9)	2.6 (2.3-4.3)	0.95
Respiratory System Elastance - L/cmH <sub>2</sub> O	30.1 (24.4-40.5)	28.3 (22.8-39.5)	0.62
Lung Elastance - L/cmH <sub>2</sub> O	22.4 (17.2-29.4)	20.2 (14.7-28.5)	0.84
Check Wall Elastance – L/cmH <sub>2</sub> O	7.2 (3.7-8.8)	6.2 (4.8-8.8)	0.57
Respiratory System Compliance – ml/cmH2O	33.2 (24.7-40.9)	35.3 (25.3-43.8)	0.74
Transpulmonary Compliance – ml/cmH2O	44.6 (34-58)	49.5 (35-67)	0.36
Chest Wall Compliance – ml/cmH2O	139 (113-268.3)	119.3 (90.4-178.6)	0.06
Expiratory Time Constant - s	0.42 (0.39-0.53)	0.47 (0.41-0.55)	0.13
рН	7.4 (7.31-7.45)	7.4 (7.31-7.44)	0.10
pCO2	42 (37-45)	39 (36-49)	0.10
PaO2/FiO2	200 (150-235)	168 (146-207.5)	0.22
Mechanical Power – J/s	26.9 (23.8-37.9)	28.2 (22.2-36.4)	0.84
Vt indicates tidal volume, IBW indicates ideal body weig indicates control mode ventilation, ASV indicates adaptiv All comparisons made with paired t test except where distribution and the use of the Wilcoxon signed paired si	ve support ventilation indicated by the * which		

Table 3. Comparison between ventilator modes before and after crossover on day 1 (n=17)				
Vent Mode	CMV	ASV	p value	
Vt – ml	417.7 (392.7-440.8)	440.5 (393.4-497.4)	0.06	
Vt/IBW – ml/kg	6.04 (6.01-6.06)	6.29 (5.87-6.99)	0.03*	
Resp Rate – bpm	27 (22-30)	25 (22-26)	0.01	
Minute Ventilation – L/min	10.4 (8.6-12.1)	10.5 (9.1-12.2)	0.33	
Plateau Pressure - cmH2O	24.7 (22.6-27.6)	25.3 (23.5-26.8)	0.14	
Total PEEP – cmH₂O	12.8 (10.4-15.1)	12.8 (10.6-15.5)	0.44	
End Expiratory Transpulmonary Pressure – cmH <sub>2</sub> O	0.0 (-1.7-1.8)	0.2 (-1.1-1.3)	0.62	
End Inspiratory Transpulmonary Pressure – cmH <sub>2</sub> O	8.7 (6.6-11.8)	8.6 (7.2-11.9)	0.46	
End Expiratory Esophageal Pressure – cmH2O	13.2 (12.1-15.3)	12.4 (11-16.3)	0.72	
End Inspiratory Esophageal Pressure – cmH2O	15.5 (13.8-18.3)	15.2 (11.9-19.6)	0.89	
Respiratory System Driving Pressure – cmH <sub>2</sub> O	12.8 (9-15.8)	11.7 (10.7-15.1)	0.29	
Transpulmonary Driving Pressure - cmH2O	7.8 (7-10.7)	8.3 (7.3-12.8)	0.68	
Chest Wall Driving Pressure – cmH <sub>2</sub> O	3 (1.6-3.9)	2.6 (2.3-4.3)	0.95	
Respiratory System Elastance - L/cmH₂O	30.1 (24.4-40.5)	28.3 (22.8-39.5)	0.62	
Lung Elastance - L/cmH <sub>2</sub> O	22.4 (17.2-29.4)	20.2 (14.7-28.5)	0.84	
Check Wall Elastance – L/cmH <sub>2</sub> O	7.2 (3.7-8.8)	6.2 (4.8-8.8)	0.57	
Respiratory System Compliance – ml/cmH2O	33.2 (24.7-40.9)	35.3 (25.3-43.8)	0.74	
Transpulmonary Compliance – ml/cmH2O	44.6 (34-58)	49.5 (35-67)	0.36	
Chest Wall Compliance – ml/cmH2O	139 (113-268.3)	119.3 (90.4-178.6)	0.06	
Expiratory Time Constant - s	0.42 (0.39-0.53)	0.47 (0.41-0.55)	0.13	
рН	7.4 (7.31-7.45)	7.4 (7.31-7.44)	0.10	
pCO2	42 (37-45)	39 (36-49)	0.10	
PaO2/FiO2	200 (150-235)	168 (146-207.5)	0.22	
Mechanical Power – J/s Vt indicates tidal volume, IBW indicates ideal body weij indicates control mode ventilation, ASV indicates adapti		28.2 (22.2-36.4) ive end expiratory pres	0.84 sure, CM	
All comparisons made with paired t test except where distribution and the use of the Wilcoxon signed paired s	· ·	ch is indicative of non-	parametr	

/ent Mode	e and after crossover on CMV	ASV	p valu
/t – ml	417.7 (392.7-440.8)	440.5 (393.4-497.4)	0.06
/t/IBW – ml/kg	6.04 (6.01-6.06)	6.29 (5.87-6.99)	0.03*
Resp Rate – bpm	27 (22-30)	25 (22-26)	0.01
Ainute Ventilation – L/min	10.4 (8.6-12.1)	10.5 (9.1-12.2)	0.33
Plateau Pressure - cmH2O	24.7 (22.6-27.6)	25.3 (23.5-26.8)	0.14
otal PEEP – cmH2O	12.8 (10.4-15.1)	12.8 (10.6-15.5)	0.44
nd Expiratory Transpulmonary Pressure – cmH <sub>2</sub> O	0.0 (-1.7-1.8)	0.2 (-1.1-1.3)	0.62
nd Inspiratory Transpulmonary Pressure – cmH <sub>2</sub> O	8.7 (6.6-11.8)	8.6 (7.2-11.9)	0.46
nd Expiratory Esophageal Pressure – cmH <sub>2</sub> O	13.2 (12.1-15.3)	12.4 (11-16.3)	0.72
ind Inspiratory Esophageal Pressure – cmH <sub>2</sub> O	15.5 (13.8-18.3)	15.2 (11.9-19.6)	0.89
Respiratory System Driving Pressure – cmH <sub>2</sub> O	12.8 (9-15.8)	11.7 (10.7-15.1)	0.29
ranspulmonary Driving Pressure - cmH <sub>2</sub> O	7.8 (7-10.7)	8.3 (7.3-12.8)	0.68
Chest Wall Driving Pressure – cmH <sub>2</sub> O	3 (1.6-3.9)	2.6 (2.3-4.3)	0.95
Respiratory System Elastance - L/cmH <sub>2</sub> O	30.1 (24.4-40.5)	28.3 (22.8-39.5)	0.62
ung Elastance - L/cmH <sub>2</sub> O	22.4 (17.2-29.4)	20.2 (14.7-28.5)	0.84
Check Wall Elastance – L/cmH2O	7.2 (3.7-8.8)	6.2 (4.8-8.8)	0.57
Respiratory System Compliance – ml/cmH2O	33.2 (24.7-40.9)	35.3 (25.3-43.8)	0.74
ranspulmonary Compliance – ml/cmH2O	44.6 (34-58)	49.5 (35-67)	0.36
Chest Wall Compliance – ml/cmH2O	139 (113-268.3)	119.3 (90.4-178.6)	0.06
xpiratory Time Constant - s	0.42 (0.39-0.53)	0.47 (0.41-0.55)	0.13
н	7.4 (7.31-7.45)	7.4 (7.31-7.44)	0.10
002	42 (37-45)	39 (36-49)	0.10
	200 (150-235)	168 (146-207.5)	0.22
PaO2/FiO2	. ,		

distribution and the use of the Wilcoxon signed paired signed rank test

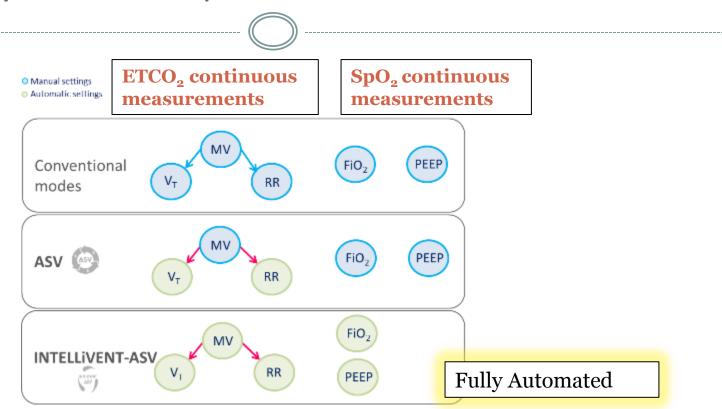


#### Mechanical Power Change Between ASV and Control А В ₽ 80 ml/cmH2O 70 e Change in Power from CMV to ASV 60 <sub>ا</sub>م å y = 1.7838x + 37.618 50 plian = 0.2337Com 40 0 ٤ ā 30 ù Respiratory 20 Ģ P<0.05 10 P=0.007 6 0 -15 -10 10 -5 5 n Vt Increase in ASV Vt Decrease in ASV Change in Mechanical Power after switching to ASV from control

### **Study Conclusions**

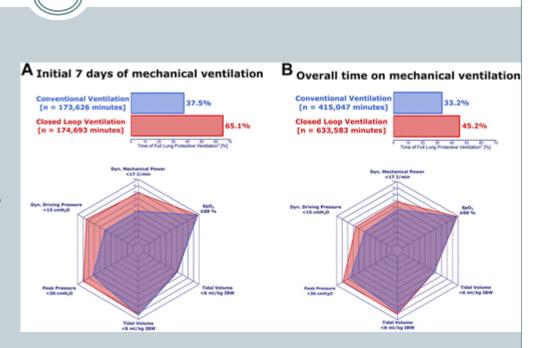
- Vt and Vt/IBW similar between standard of care and ASV groups overall
  - Wider range in Vt distribution in ASV secondary to variable compliance and time constants
  - ASV lowered Vt in patients with stiffer lungs
- Mechanical power similar overall
  - Decreased power in patients with stiffer lungs
- Other markers of safety were similar between groups
  - Similar driving pressure, plateau, gas exchange
- Physiological rationale for potential benefit by providing individual titration

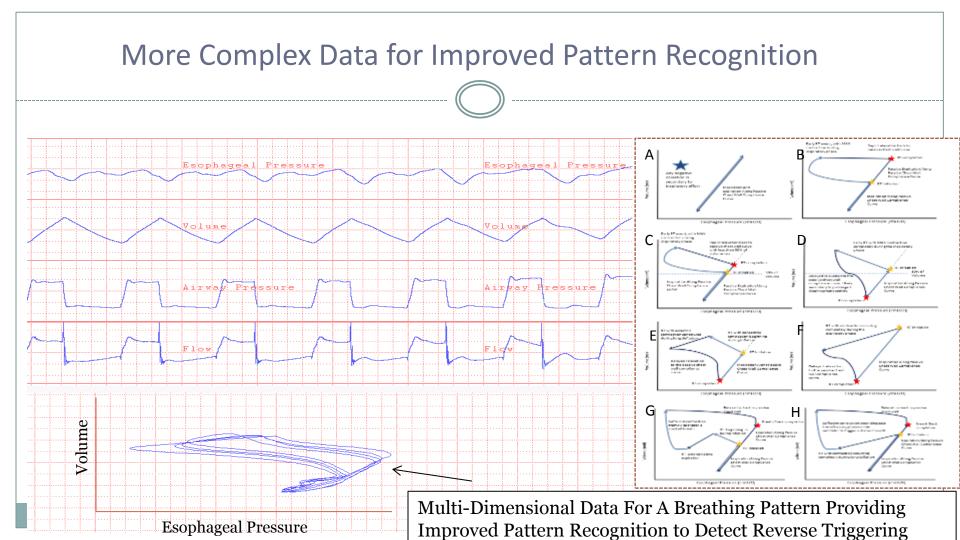
#### Fully Closed Loop Mechanical Ventilation



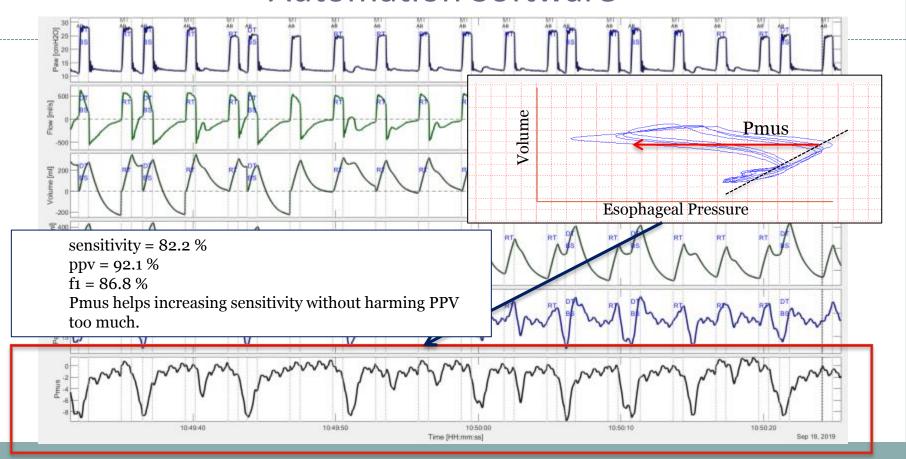
# **Closed Loop Ventilation During COVID**

- Enrollment of patients with COVID-19 ARDS
- 40 total patients enrolled
- Allocated to closed loop (23 patients) or conventional (17 patients) based upon ventilator availability
- "Lung protection" defined as Vt < 8cc/kg, ΔP < 15 cmH2O, peak pressure , 30 cmH2O, peripheral O2 saturation >88% and dynamic mechanical power < 17 J/min</li>
- Lung protection achieved 65% of the time in ASV vs 38% of the time in conventional over first 7 days

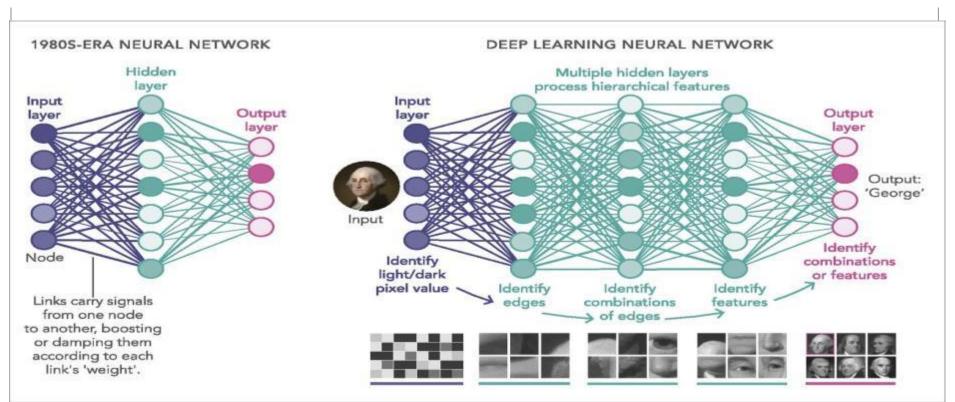




#### **Automation Software**

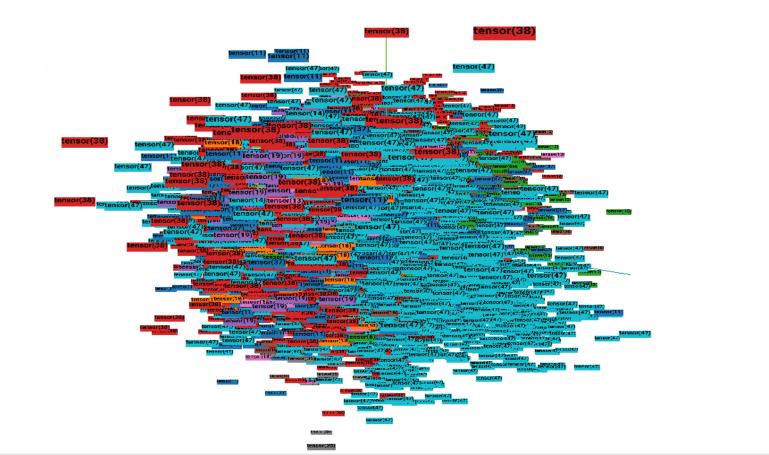


#### Automated Dyssynchrony Detection Machine Learning Approaches Deep Neural Network Pattern Recognition



PNAS | January 22, 2019 | vol. 116 | no. 4

# Principle Component Analysis Clusters By Phenotype



Dr. Elias Baedorf Kassis enbaedor@bidmc.harvard.edu

THANKSH